

1. Name of Listed Entity: South West Pinnacle Exploration Limited
2. Scrip Code/Name of Scrip/Class of Security: SOUTHWEST
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on: 31-Mar-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I-Summary Statement holding of specified securities

Category(I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							Asa % of (A+B+C2)	Total asa % of (A+B+C)	No of Voting Rights					No. (a)	Asa % of total Shares held (b)	No. (a)	Asa % of total Shares held (b)	
									Class seg: X	Class seg: y	Total							

A	Promoter & Promoter	7	9802400	0	0	9802400	70.26	9802400	0	980240	70.26	0	70.26	28000	28.56			9802400
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	Group									0							
B	Public	453	4148800	0	0	4148800	29.74	4148800	0	4148800	29.74	0	29.74		0.00		4148800
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
	Total	460	13951200	0	0	13951200	100.00	13951200	0	13951200	100.00	0	100.00	2800000	20.07		13951200



Table II- Statements showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = IV+V+VI	Shareholding % calculate as per SCRR, 1957 (VIII) = (A+B+C2) (VII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding %, as assuming full conversion of convertible securities (asa percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Share held (b)	No. (a)		As a % of total shares held (b)
									Class X	Class Y	Total								

1	Indian		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals/Hindu undivided Family		7	9802400	0	0	9802400	70.26	9802400	0	9802400	70.26	0	70.26	2800000	28.56		9802400
	VIKAS JAIN	ACU PJ123 5E	1	425000	0	0	425000	30.46	425000	0	425000	30.46	0	30.46	1380000	32.47		4250000
	PIYUSH JAIN	ADIP J8400 G	1	2352400	0	0	2352400	16.86	2352400	0	2352400	16.86	0	16.86	1420000	60.36		2352400
	GAJRAJ JAIN	AAB HG36 53A	1	230000	0	0	230000	16.49	230000	0	230000	16.49	0	16.49	0	0.00		2300000
	RACHNA JAIN	AJUP K622 5C	1	430000	0	0	430000	3.08	430000	0	430000	3.08	0	3.08	0	0.00		430000
	RUCHI JAIN	ACW PJ412 8L	1	430000	0	0	430000	3.08	430000	0	430000	3.08	0	3.08	0	0.00		430000
	VIKAS JAIN HUF	AAK HV23 50L	1	30000	0	0	30000	0.22	30000	0	30000	0.22	0	0.22	0	0.00		30000

	KASVI JAIN	BJAP	1	10000	0	0	10000	0.07	10000	0	100	0.07	0	0.07	0	0.00		10000
		J4591									00							
		F																
b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (A)(1)		7	9802400	0	0	9802400	70.26	9802400	9802400	70.26	0	70.26	2800000	28.56			9802400
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Total Shareholding of Promoter and Promoter Group (A)=		7	9802400	0	0	9802400	70.26	9802400	9802400	70.26	0	70.26	2800000	28.56			9802400



(A)(1)+(A)(2)																				
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Table III- Statements showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (Not applicable) (b)	
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Mutual Funds/		2	1704000	0	0	1704000	12.21	1704000	0	1704000	12.21	0	12.21	0	0.00			1704000
	HSBC SMALL CAP EQUITY FUND	AAATH2467G	1	1112000	0	0	1112000	7.97	1112000	0	1112000	7.97	0	7.97	0	0.00			1112000
	DSP NATURAL RESOURCES AND NEW ENERGY FUND	AAAJD0430B	1	592000	0	0	592000	4.24	592000	0	592000	4.24	0	4.24	0	0.00			592000
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign		4	118400	0	0	118400	0.85	118400	0	118400	0.85	0	0.85	0	0.00			118400

	Portfolio Investors		0				0		400									
f	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
g	Insurance Companies		0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
i	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Sub-Total (B)(1)		6	1822400	0	1822400	13.06	1822400	1822400	13.06	0	13.06	0	0.00				1822400
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Sub-Total (B)(2)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		374	1478990	0	1478990	10.60	1478990	1478990	10.60	0	10.60	0	0.00	0	0.00		1478990
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		364	1104590	0	1104590	7.92	1104590	1104590	7.92	0	7.92		0.00				1104590
ii	Individual shareholders		10	374400	0	374400	2.68	374400	374400	2.68	0	2.68		0.00				374400



	holding nominal share capital in excess of Rs. 2 lakhs.																		
b	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00		0		
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00		0		
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00		0		
e	Any Other (specify)		73	847410	0	0	847410	6.07	847410	847410	6.07	0	6.07	0.00		847410			
	HUF		35	123200	0	0	123200	0.88	123200	123200	0.88	0	0.88	0.00		123200			
	Non-Resident Indian (NRI)		11	336000	0	0	336000	0.24	336000	336000	0.24	0	0.24	0.00		336000			
	Clearing member		10	121600	0	0	121600	0.87	121600	121600	0.87	0	0.87	0.00		121600			
	Trusts		2	171200			171200	1.23	171200	171200	1.23	0	1.23	0.00		171200			
	PANTOMAT H SABRIMAL A AIF PANTOMAT H SABRIMAL A SME GROWTH FUND SERIES	AAD TP40 86E	1	164800			164800	1.18	164800	164800	1.18	0	1.18	0.00		164800			
	Bodies		15	39781			397810	2.85	39781	39781	2.85	0	2.85	0.00		397810			



Corporate			0				0		810								
PANTOMAT H STOCK BROKERS PRIVATE LIMITED	AAH CP67 13F	1	23040 0			230400	1.65	23040 0	230 400	1.65	0	1.65		0.00			230400
Sub-Total (B)(3)		447	232640 00	0		232640 0	16.67	232640 00	232 640 0	16.67	0	16.67	0	0.00			2326400
Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)		453	414880 00	0		414880 0	29.73	414880 00	414 880 0	29.73	0	29.73	0	0.00			4148800



Table IV- Statements showing shareholding pattern of the Non Promoter-Non Public shareholder

	Category&Name ofthe Shareholders (I)	PAN (II)	No.of shareh older (III)	No.of fully paid up equity share sheld (IV)	Partly paid-up equity shares held (V)	Nos.of shares underlying Depository Receipts (VI)	Totalno. shares held [V+V+VI] (VII)	Sharehol ding% calculate das per SCRR, 1957 Asa%of (A+B+C2) (VIII)	NumberofVotingRightssheldin eachclassofsecurities (IX)				No.of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g, as a % assuming full conversion of convertible securities(asa percentage ofdiluted share capital) (XI)	Numberof Lockedin shares (XII)		Numberof Shares pledgedor otherwise encumbered (XIII)		Numberof equity sharesheld in dematerializ edform (XIV) (Not Applicable)
	NoofVotingRights			Totalas a%ofTo talVotin grights	No.	Asa %oft otal Shar esh eld	No. (No tap pli ca ble)	Asa %ofto talsha reshe ld(Not applic able)											
	Class X		Class Y							Tot al									

1	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0





Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %

